

Impaired anticipatory control of fingertip forces in patients with a pure motor or sensorimotor lacunar syndrome

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We examined planning and execution of precision grasp in eight right-handed patients with a right pure motor or sensorimotor lacunar syndrome after a subcortical stroke and eight age-matched controls as they grasped and lifted an instrumented object whose weight could be varied without altering its visual appearance. Grip (normal) and load (tangential) forces at the fingertip–object interface were measured and the grip force rate (GFR) and load force rate (LFR) were derived. Planning of precision grasp was assessed by measurement of anticipatory scaling of peak GFR and peak LFR to object weight. Execution of precision grasp was assessed by measurement of both the timing and efficiency of grip-load force coordination: the pre-load phase duration (PLD) and the load phase duration (LPD) measured timing, whereas the grip force at load force onset (GFO) and the grip force at lift-off (GFL) measured efficiency. Subjects lifted a light and heavy object five times first with the RIGHT hand, then with the LEFT hand, and then once more with the RIGHT AFTER LEFT hand. Patients with stroke did not scale the peak LFR or peak GFR to object weight with the RIGHT hand even with repeated attempts; however, they scaled the peak LFR to object weight on the first lift with the RIGHT AFTER LEFT hand ($P = 0.01$). Patients also prolonged the PLD and LPD and produced excessive GFO and GFL for RIGHT hand lifts, but decreased the GFL for the heavy object ($P = 0.016$) with the RIGHT AFTER LEFT hand. Correlation of precision grasp variables from lifts with the RIGHT hand with clinical measures showed that anticipatory scaling of peak LFR and peak GFR did not correlate with clinical measures of hand function, whereas the PLD did ($r = 0.88$, $P = 0.004$). The results suggest that patients with right hemiparesis from a subcortical lesion of the corticospinal tract have a higher-order motor planning deficit. This planning deficit is dissociable from deficits in motor execution, is not captured by routine clinical assessment, and is correctable by transfer of information from the unaffected hemisphere. A rehabilitation strategy that involves practice with the left hand prior to practice with the right hand may improve planning of grasping behaviour in patients with right hemiparesis.

Keywords: hand; motor planning; internal model; grasp; interlimb transfer

Abbreviations: CP = cerebral palsy; GFL = grip force at lift-off; GFO = grip force at onset of positive load force; GFR = grip force rate; LFR = load force rate; LPD = load phase duration; M1 = primary motor cortex; MAS = Modified Ashworth Scale; PLD = pre-load phase duration; PPT = Purdue pegboard test; R–R contrast = RIGHT–RIGHT AFTER LEFT hand comparison; S1 = primary sensory cortex; WMFT = Wolf Motor Function Test

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Introduction

Hemiparesis is the most common impairment after stroke and typically affects the upper extremity more than the lower extremity. Studies indicate that upper-extremity weakness,

spasticity, and abnormal motor synergies are insufficient to explain the impairment in reaching movements after stroke (Twitchell, 1959; Wing *et al.*, 1990; Roby-Brami *et al.*, 1997),

and suggest that additional higher-order control deficits may be present (Beer *et al.*, 1999). Impairments in execution of precision grasp after damage to the primary motor cortex or corticospinal tract have been extensively described in non-human and human primates (Denny-Brown, 1966; Lawrence and Kuypers, 1968; Hepp-Reymond and Wiesendanger, 1972; Muir and Lemon, 1983; Hepp-Reymond, 1988; Porter and Lemon, 1993; Hermsdörfer and Mai, 1996; Grichting *et al.*, 2000; Duque *et al.*, 2003; Hermsdörfer *et al.*, 2003; Nowak *et al.*, 2003; Golge *et al.*, 2004; Aruin, 2005; Quaney *et al.*, 2005; Wenzelburger *et al.*, 2005). However, it has not been established whether higher-order abnormalities in precision grasp are present after corticospinal tract damage, comparable to those found in reaching (Beer *et al.*, 1999; Takahashi and Reinkensmeyer, 2003).

A well-characterized paradigm for the study of higher-order sensorimotor integration in hand motor control is to measure subjects' ability to anticipate the fingertip forces required to grasp and lift objects (Johansson, 1996). Anticipatory (feed-forward) fingertip force control ensures the generation of appropriate grip and load forces so as to avoid crushing delicate objects or dropping heavy ones, and is thought to be based on the formation, in the central nervous system, of internal models of object properties (Johansson and Westling, 1988; Gordon *et al.*, 1993; Flanagan, 1999; Davidson and Wolpert, 2004). Anticipatory control of grasp is reflected in the ability to scale peak grip force rates (GFR) and peak load force rates (LFR) to the texture and weight of objects before confirmatory feedback becomes available (Johansson and Westling, 1988; Flanagan *et al.*, 2001). Healthy subjects are able to appropriately scale peak force rates to object properties after just one or two lifts, and accurately recall them 24 hours later (Gordon *et al.*, 1993; Flanagan *et al.*, 2001).

Anticipatory scaling of peak GFR and peak LFR for novel objects is impaired in children with hemiplegic cerebral palsy (CP) (Eliasson *et al.*, 1992; Gordon *et al.*, 1999; Gordon and Duff, 1999*a, b*). The impairment is thought to result from an inability to form or access internal models of object properties due to either disrupted sensory feedback from the affected hand (Gordon and Duff, 1999*a, b*) or a higher-order deficit in sensorimotor integration (Eliasson *et al.*, 1992). However, anticipatory scaling of the peak force rates in these children improved in the affected hand when preceded by lifts with the unaffected hand (Gordon *et al.*, 1999). Such improvement would not be expected if the impairment in anticipatory scaling were solely due to an execution deficit. Here, we asked if anticipatory scaling of peak GFR and peak LFR is impaired in patients with adult onset stroke, and if this impairment is separate from deficits in motor execution.

Both impaired anticipatory control and abnormal timing of grip-load force coordination during grasping may contribute to poor manual dexterity in children with CP (Forssberg *et al.*, 1999; Gordon and Duff, 1999*b*; Duque *et al.*, 2003). A recent study in patients with stroke (Wenzelburger *et al.*, 2005) examined the relationship between precision grasp

execution and clinical measures, and found a strong correlation between timing of grip-load force coordination and dexterity. However, to the best of our knowledge, analysis of the relative contribution of precision grasp execution versus planning variables to clinical measures has not been attempted in adult patients with hemiparesis.

In the present study, we examined planning and execution of precision grasp in patients with a right pure motor or sensorimotor lacunar syndrome after a subcortical stroke. Planning of precision grasp was assessed by measurement of anticipatory scaling of peak LFR and peak GFR to object weight, as the peak amplitude of these variables is scaled to the expected weight of the object before sensory feedback signalling the object's weight is available at lift-off (Johansson and Westling, 1988; Gordon *et al.*, 1993; Flanagan *et al.*, 2001). Scaling of the peak force rate ensures that the time to produce lifting forces does not increase linearly with object weight. Precision grasp execution was assessed by measurement of the timing and efficiency of grip-load force coordination, as these variables indicate the degree of fine motor control necessary for precision grasp (Forssberg *et al.*, 1999). We hypothesized that both anticipatory scaling and grip-load force coordination would be impaired in the involved right hand of patients. In order to confirm that deficits in anticipatory scaling are separate from those associated with grip-load force coordination, we examined whether anticipatory scaling could transfer to the involved right hand after prior lifts with the left hand. We hypothesized that anticipatory control would transfer across hands, whereas grip-load force coordination would not. Finally, we examined the relationship of anticipatory scaling and grip-load force coordination variables with conventional clinical measures of impairment (tactile sensation, spasticity, and grip strength) and tests of hand function.

Methods

Subjects

Eight adult patients with right hemiparesis (six females and two males, 27–88 years, mean = 65.4) and an equal number of age-matched (± 2 years) control subjects (29–90 years, mean = 67.2), without evidence of neurological deficit or orthopaedic abnormality, participated in the study. All subjects were right-handed as confirmed by a laterality quotient of $>+80$ on the 10-point Edinburgh Inventory (Oldfield, 1971). All patients had sustained a single subcortical stroke at least three months previously, and met the following inclusion criteria: (i) presentation with either a pure motor or a sensorimotor lacunar syndrome; (ii) score of $<25/33$ on the wrist and hand subcomponents of the Fugl-Meyer Scale (Fugl-Meyer *et al.*, 1975), suggesting at least 25% motor impairment; (iii) score of >24 on the Folstein's Mini Mental Status Examination (Cockrell and Folstein, 1988); (iv) absence of aphasia that would interfere with testing; (v) ability to bisect a straight line within 5% of the mid-point (Schenkenberg *et al.*, 1980); (vi) negative screening for ideomotor apraxia by accurate demonstration of the use of scissors (O'Hare *et al.*, 1999); (vii) clinically intact joint proprioception suggested by the ability to perceive the direction of passive displacements

Table 1 Clinical characteristics of patients with stroke

Pt	Age ^a	Lesion location ^b	TSS ^c	FMS ^d	Tactile sensation ^e	MAS ^f	Grip strength ^g	PPT ^h	WMFT ⁱ
1	27	L BG and IC	36	24/48	c.n.d.	1, 1+, 1	14	2.4	10.3/6.2
2	34	L putaminal haemorrhage	109	20/56	5.7	0, 1+, 0	42.7	5.2	10.0/5.8
3	54	L PLIC	3	16/44	4.7	0, 0, 1	7.3	4.4	6.5/4.0
4	75	L PLIC and thalamus	69	18/46	c.n.d.	0, 0, 1	14	7.2	4.8/3.7
5	79	L IC	37	20/40	7.8	0, 1+, 1	2.3	1.6	12.5/7.2
6	82	L BG and PLIC	5	25/55	4.0	0, 0, 0	30.7	9.0	4.0/2.7
7	88	L IC	18	25/52	4.6	1, 0, 0	12.3	8.0	3.8/2.7
8	84	L BG and IC	14	13/32	3.3	2, 1+, 1	6.7	4.8	11/7.5

^aAge, in years. ^bAll lesions refer to infarcts except in patient no. 2; L = left, BG = basal ganglia, IC = internal capsule, PLIC = posterior limb of the internal capsule; ^cTSS = time since stroke, in months; ^dFMS = Fugl-Meyer Scale, scores of the wrist and hand out of a maximum of 33 over those of the total upper extremity out of a maximum of 66; ^etactile sensation was measured by the mean two-point discrimination scores of the thumb and index fingers over three trials, c.n.d. = could not detect; ^fMAS = Modified Ashworth Scale, scores across the involved shoulder, elbow, and wrist joints; ^ggrip strength, in kilograms; ^hPPT = Purdue pegboard test, scores represent the average number of pegs inserted in 30 s over five trials; ⁱWMFT = Wolf Motor Function Test, scores represent the average time taken, in seconds, to complete tasks 8–13 involving fine motor skills over the average for all 15 tasks.

of the metacarpophalangeal joints of all five digits with eyes closed; (viii) subcortical location of stroke verified using brain magnetic resonance imaging, FLAIR sequence by J.W.K (Patients 3, 4 and 8), from official radiology reports (Patients 2, 5 and 6), and from the patient's medical record (Patients 1 and 7); and (ix) ability to complete the experimental protocol with the involved hand. Patients were excluded if their history suggested (i) coexistent neurological problems such as Parkinson's disease; (ii) arthritis, surgery or other significant injury to the upper extremities; (iii) botulinum toxin injections in the upper-extremity musculature in the last three months; or (iv) treatment with intrathecal baclofen. Patient characteristics are shown in Table 1.

Physicians and therapists specializing in the treatment of stroke in the New York metropolitan area referred the patients. Control subjects were recruited by public advertisement. Subjects were reimbursed for their travel expenses. Experiments were conducted in the Hand Motor Control Laboratory at Teachers College, Columbia University, and the Teachers College institutional review board approved the study protocol. All subjects provided informed consent in accordance with the Declaration of Helsinki.

Clinical measures

Standard neurological tests of impairment measured (i) tactile sensation over the grasping surfaces of the thumb and index finger of each hand with the Two-Point Discrimination Test (Mackinnon and Dellon, 1985)—this test correlated best with anticipatory control in children with CP (Gordon and Duff, 1999b); (ii) grip strength with a standard Jamar dynamometer (Pro Med Products, Atlanta, GA, USA); and (iii) spasticity in the affected shoulder, elbow, and wrist joints with the Modified Ashworth Scale (MAS) (Bohannon and Smith, 1987). Hand function was assessed with tasks 8 to 13 of the Wolf Motor Function Test (WMFT) (Wolf *et al.*, 2001), and the Purdue pegboard test (PPT) (Desrosiers *et al.*, 1995; Hurvitz *et al.*, 2003).

Procedure

Figure 1A shows the grasp instrument used in the experiment (for a detailed description of the apparatus, see Muratori *et al.*, 2003). Subjects first washed their hands with soap and water, and dried them thoroughly. At trial onset, they sat with their elbow flexed

to 90°, aligned with the shoulder, and hand resting on a height-adjustable table with the forearm parallel to the floor. Following an auditory cue, subjects reached forward at their preferred speed to a distance approximating 75% of their arm's length, grasped the object with the thumb and index finger over the force sensors so that the load was perpendicular to the long axis of the fingers (Fig. 1A), lifted it to a height of 5 cm, indicated by a vertical marker, by flexing the shoulder and then held it briefly (~3 s) before lowering it to the table. The task was first demonstrated to subjects and then they practised lifting the object without a weight in its core. For the experimental trials, we changed the weight of the object behind a screen, while all other object features such as shape, size, and frictional property of the grip surface (200-grit sandpaper) remained unchanged. Subjects kept their eyes open throughout the protocol. The experiment took place over a single session and subjects were given rest breaks to prevent fatigue. The experiment consisted of two series of lifts to examine the following.

Anticipatory control

This series of lifts examined if subjects showed anticipatory control to object weight. Subjects first lifted either a light (300 g) or a heavy (500 g) object five times with their right hand. The weight in the core of the object was then changed behind a screen, and subjects lifted the object with the second weight five times with the same hand. The order of presentation of the light and heavy object was counter-balanced across subjects in each group to prevent an order effect. We used the variables (defined below) from the fifth lift with the light and heavy object for subsequent analyses.

Transfer of anticipatory control

This series of lifts examined if subjects could transfer anticipatory control to the right hand after lifts with the left hand. To avoid confounding the results from repeated lifts with the same weights used in the above lifting series, we used a different set of light and heavy weights in this series. However, to facilitate comparison, the light and heavy object differed by 200 g in both lifting series. Subjects first lifted either a light (400 g) or heavy (600 g) object five times with their left hand. Then, the experimenter slid the object across the table and aligned it with the right hand, and the subject lifted the same weight once more. The weight in the core of the object was then changed behind a screen, and the above protocol was repeated

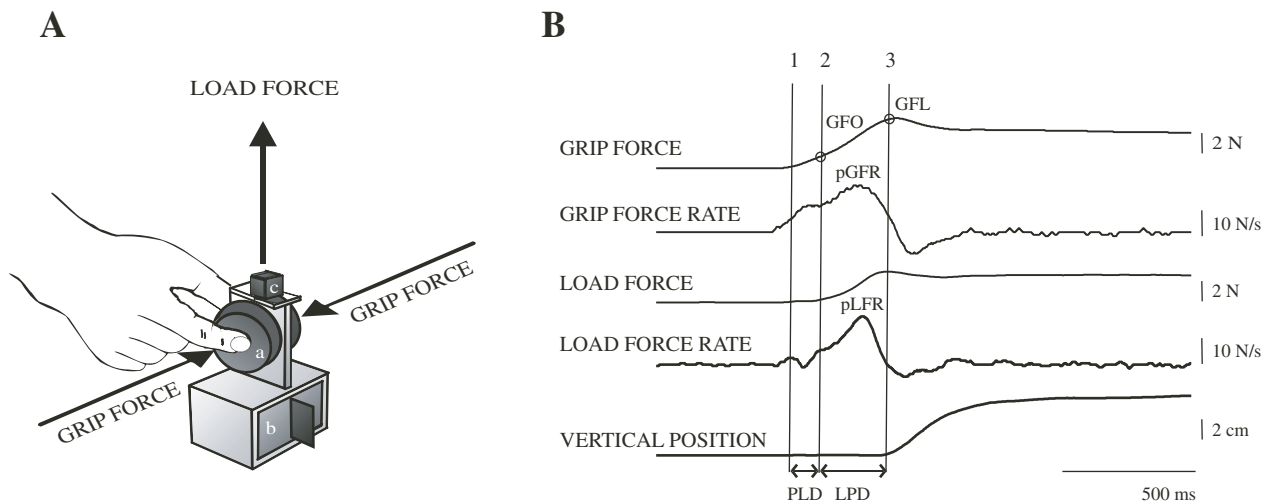


Fig. 1 (A) Schematic diagram of the grasp instrument with exchangeable grip surfaces (a) covering strain-gauge force transducers for measuring grip and load force, exchangeable mass (b) in base and electromagnetic position sensor (c). (B) Precision grasp variables related to planning and execution in a healthy subject. Anticipatory scaling (planning) was measured by the peak grip force rate (pGFR) and peak load force rate (pLFR). Timing and efficiency of grip and load force coordination (execution) was measured at specific lift events: (1) point at which object contact is made and the grip force begins to increase (>0.1 N); (2) point at which load force becomes positive (>0.1 N); and (3) point at object lift-off (vertical position >0.1 cm and load force = weight of object). Temporal coordination of grip and load forces was measured by the interval between (1) and (2), the PLD, and that between (2) and (3), the LPD; and efficiency of grip-load force coordination was measured by the grip force at (2) and at (3), the GFO and the GFL, respectively.

for the second weight. The order of presentation of the light and heavy object was counterbalanced across subjects in each group. We used the variables from the fifth lift with the left hand and the first lift with the right hand, with the light and heavy object, for subsequent analyses.

Data analysis

Force and position data were sampled with 12-bit resolution at 400 and 120 Hz, respectively, using a flexible data acquisition/analysis system (SC/ZOOM, Umeå University, Sweden), and were filtered with a second-order Butterworth filter with zero phase lag using a cut-off frequency of 8 Hz. Load forces at the thumb and index finger sensors were summed, and grip forces at these sensors were averaged. The LFR and GFR were derived from the summed load and average grip forces using a ± 5 -point numerical differentiation (i.e. calculated with a ± 12.5 ms window). Anticipatory scaling (planning) of fingertip forces was measured by the peak LFR and GFR (Fig. 1B, pLFR and pGFR) (Johansson and Westling, 1988; Gordon *et al.*, 1993). The peak force rate was defined as the highest point in the force rate profile that was followed by a subsequent drop of at least 50%; this definition controlled for false peaks due to hand tremors. Execution of grasp was assessed by the timing and efficiency of grip-load force coordination at specific lift events (Forssberg *et al.*, 1999; Duque *et al.*, 2003). Temporal coordination was measured by: (a) the pre-load phase duration (PLD), the interval between (i) onset of grip force and (ii) onset of positive load force, both defined as the point at which the force exceeded 0.1 N (Fig. 1B, PLD), and indicates the time taken for grasp stabilization; and (b) the load phase duration (LPD), the interval between (ii) onset of positive load force and (iii) object lift-off, which occurred when the object's vertical position exceeded 0.1 cm and the load force exceeded the force corresponding to the object's weight (Fig. 1B, LPD), and indicates the time needed to develop appropriate lifting forces. The grip force at load force

onset (GFO) and the grip force at lift-off (GFL) measured the efficiency of grip-load force coordination (Fig. 1B, GFO and GFL).

Statistics

Repeated measures analysis of variance (ANOVA) was performed with hand condition (RIGHT hand, LEFT hand and RIGHT AFTER LEFT hand) and weight (light and heavy) as the within-subject factors on each dependent variable, for the stroke and control groups separately. To test our hypotheses, we performed *a priori* pairwise comparisons of the difference scores of light and heavy object lifts between the RIGHT hand and RIGHT AFTER LEFT hand (R–R) using an orthogonal contrast for the hand condition \times weight interaction—these results are reported as 'R–R contrast'.

The relationship between precision grasp variables (obtained with the RIGHT hand) and clinical measures in patients with stroke were analysed by Pearson's correlation tests. The precision grasp variables used were the difference scores of lifts with the light and heavy object for the peak LFR, peak GFR, LPD and GFL; and the average scores of lifts with the light and heavy object for the PLD and GFO (since these two variables are not influenced by object weight; see Results).

Given the number of multiple comparisons with several dependent variables, we adjusted the *P*-value to reduce the likelihood of Type I statistical errors. However, since many of our variables are correlated (e.g. GFR and LFR), traditional Bonferroni correction of *P*-values is overly conservative (O'Brien and Shampo, 1988) and may result in Type II errors. Thus, we used Keppel's Modified Bonferroni correction (Keppel, 1991), which takes into account that the measures may not be independent of each other, and reduces the likelihood of Type II errors while still controlling for Type I errors. Accordingly, the significance threshold of the *P*-value for the ANOVA [$\alpha = d.f. (0.05)/c$, where α is the adjusted *P*-value, *d.f.* is the degrees of freedom, and *c* is the number of comparisons]

and the correlation analyses [$\alpha = (\text{number of variables} * 0.05)/c$] was set to $P < 0.017$.

Results

Planning of precision grasp: anticipatory scaling of peak load and grip force rates

Planning of precision grasp was first investigated by examining anticipatory scaling of peak LFR and peak GFR to object weight with the right hand. Second, to separate anticipatory scaling from execution-related measures, we examined transfer of anticipatory scaling from the left to the right hand (described below). Figure 2 shows force, force rate and position trajectories for lifting the light and heavy object

on the fifth lift with the right hand, and the first lift with the right after left hand, in a control subject and a patient with stroke. on lifting with the right hand, the control subject (Fig. 2A) produced higher peak LFR and peak GFR for the heavy object (solid lines); however, the patient with stroke (Fig. 2B) produced similar peak LFR and peak GFR for both the light and heavy object, even after four prior lifts. Thus, although the force-rate profiles were single peaked, anticipatory scaling to object weight was not seen. On lifting with the RIGHT AFTER LEFT hand, the control subject (Fig. 2C) produced higher peak LFR and peak GFR for the heavy object, implying transfer of anticipatory scaling. The patient with stroke (Fig. 2D) also produced higher peak LFR and peak GFR for the heavy object with the RIGHT AFTER LEFT

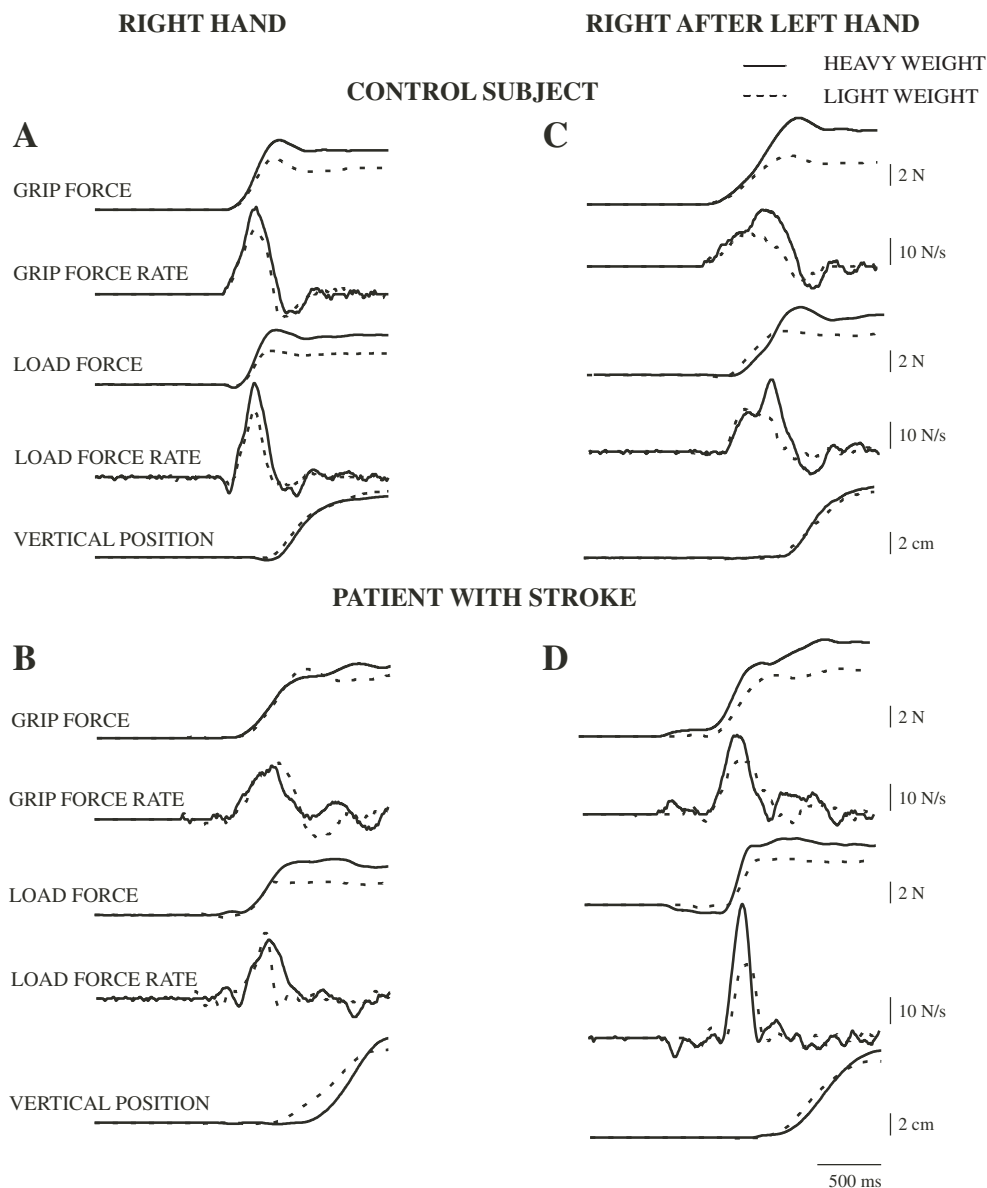


Fig. 2 Fingertip force, force rate and object position trajectories from a control subject and a patient with stroke (No. 3) are shown for the fifth lift with the right hand (**A** and **B**), and the first lift with the right hand after five lifts with the left hand (**C** and **D**), for lifting the light (dashed traces) and heavy (solid traces) object.

hand, in contrast to that produced with the RIGHT hand. However, unlike scaling of peak LFR, scaling of peak GFR did not transfer consistently across all subjects in both the control and stroke groups as detailed below.

Scaling of peak load force rate

The peak LFR for light and heavy object lifts is shown in Fig. 3A, and the slopes represent the mean difference scores for the two weights. Controls scaled the peak LFR to object weight for the three conditions: they showed higher peak LFR for the heavy object with the RIGHT, LEFT and RIGHT AFTER LEFT hand conditions [main effect for weight, $F(1,7) = 57.463$, $P = 0.001$]; scaling was similar with the RIGHT AFTER LEFT and RIGHT hand conditions [R–R contrast, $F(1,7) = 0.511$, $P = 0.498$]. In contrast, patients were unable to scale with their RIGHT hand: the slope was, if anything, negative with a similar peak LFR for light and heavy object lifts. However, the patients were able to scale

with their LEFT hand and their RIGHT AFTER LEFT hand [main effect for weight, $F(1,7) = 27.385$, $P = 0.001$]. The R–R contrast confirmed that scaling was significantly improved with the RIGHT AFTER LEFT hand compared with the RIGHT hand [$F(1,7) = 12.204$, $P = 0.01$], which indicates that patients transferred scaling of peak LFR to the right hand after lifts with their unaffected left hand.

Scaling of peak grip force rate

The peak GFR for light and heavy object lifts is shown in Fig. 3B, and the slopes represent the mean difference scores for the two weights. Controls showed scaling of peak GFR with both the RIGHT and LEFT hand conditions [main effect for weight, $F(1,7) = 32.210$, $P = 0.001$]. However, the slope for RIGHT AFTER LEFT hand was essentially flat, suggesting lack of transfer of peak GFR scaling. This impression is supported by a near significant difference in peak GFR scaling between the RIGHT and RIGHT AFTER LEFT hand conditions [R–R contrast, $F(1,7) = 7.224$, $P = 0.031$]. Patients were unable to scale peak GFR with their RIGHT hand, similar to their failure to scale peak LFR. As in controls, the patients were able to scale peak GFR with their LEFT hand but not with their RIGHT AFTER LEFT hand; this failure to scale to object weight in two out of the three conditions was reflected in a non-significant main effect of weight [$F(1,7) = 0.559$, $P = 0.479$]. Scaling was not significantly improved with the RIGHT AFTER LEFT hand compared with the RIGHT hand [R–R contrast, $F(1,7) = 0.122$, $P = 0.738$]. Thus, patients were unable to scale peak GFR with their affected right hand and neither patients nor controls were able to transfer scaling of peak GFR from the left to the right hand.

Execution of precision grasp: timing and efficiency of grip-load force coordination

Temporal coordination of grip and load forces

In controls, the PLD (data not shown) was similar for both light and heavy object lifts (means across the two weights for the three hand conditions between 110 and 130 ms) with no significant main effect of weight across the hand conditions [$F(1,7) = 1.339$, $P = 0.285$]. Patients also showed a similar PLD for light and heavy object lifts [main effect for weight, $F(1,7) = 0.469$, $P = 0.516$], but it was prolonged compared with controls for the RIGHT hand (mean = 300 ms) and RIGHT AFTER LEFT hand (mean = 230 ms). However, the normal PLD for the LEFT hand (mean = 100 ms) did not transfer to the RIGHT AFTER LEFT hand [R–R contrast, $F(1,7) = 5.489$, $P = 0.052$].

The LPD for light and heavy object lifts is shown in Fig. 4, and the slopes represent the mean difference scores for the two weights. Although the figure shows that controls increased the LPD slightly for the heavy object, there was no significant main effect of weight [$F(1,7) = 1.136$, $P = 0.322$]. In patients, the LPD was increased considerably (by 120 ms) for the heavy object with the RIGHT hand, but not with the LEFT or RIGHT AFTER LEFT hand conditions. Overall, however, there was no main effect for

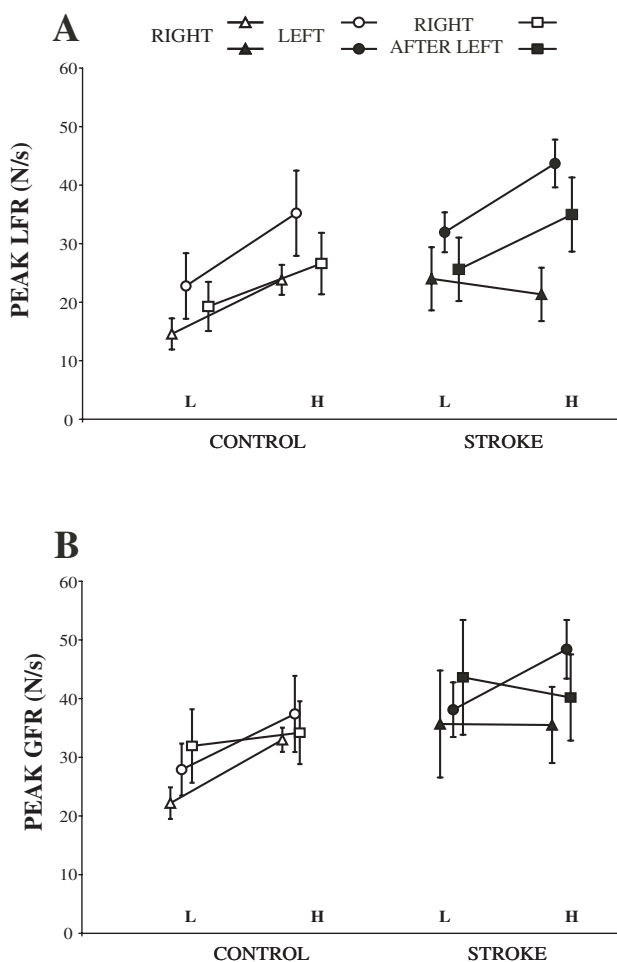


Fig. 3 (A) Peak load force rate (LFR) and (B) peak grip force rate (GFR) for lifting the light (L) and heavy (H) object on the fifth lift with the RIGHT and LEFT hand, and the first lift with the RIGHT AFTER LEFT hand in control subjects and patients with stroke (mean \pm SEM) are shown. The slopes represent the difference in peak force rates for the light and heavy weights.

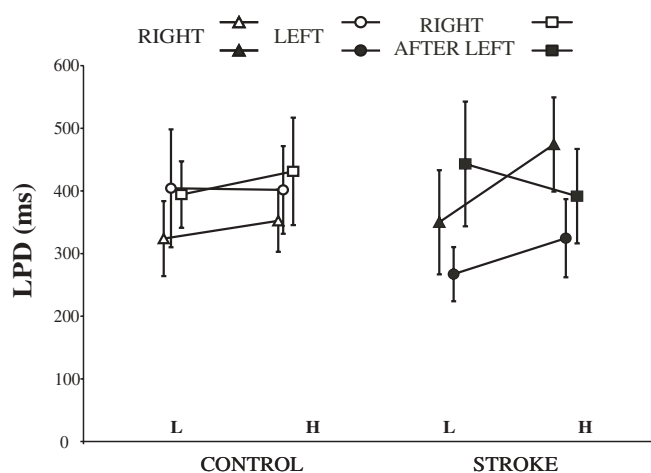


Fig. 4 Loading phase duration (LPD) for lifting the light (L) and heavy (H) object on the fifth lift with the RIGHT and LEFT hands, and the first lift with the RIGHT AFTER LEFT hand in control subjects and patients with stroke (mean \pm SEM) is shown. The slopes represent the difference in LPD for the light and heavy weights.

weight [$F(1,7) = 1.944$, $P = 0.206$]. The slope of the LPD for the RIGHT AFTER LEFT hand was negative compared with that for the RIGHT hand and the R–R contrast trended towards significance [$F(1,7) = 6.442$, $P = 0.039$].

Efficiency of grip-load force coordination

There was no difference in GFO (data not shown) between light and heavy object lifts in both controls [main effect for weight, $F(1,7) = 0.305$, $P = 0.589$] and patients [main effect for weight, $F(1,7) = 0.036$, $P = 0.855$]. However, the mean GFO (across the two weights) was elevated in patients for all three hand conditions (means between 2 and 3 N) compared with controls (means around 1.2 N).

The GFL for light and heavy object lifts is shown in Fig. 5, and the slopes represent the mean difference scores of the two weights. The GFL was higher for heavy object lifts across the three hand conditions for both controls [main effect for weight, $F(1,7) = 13.996$, $P = 0.007$] and patients [main effect for weight, $F(1,7) = 13.280$, $P = 0.008$]. In patients, the GFL increase with the heavy object was more marked (~ 4 N) for lifts with the RIGHT hand compared to lifts with the LEFT and RIGHT AFTER LEFT hand conditions. The slope of the GFL for the RIGHT AFTER LEFT hand was less steep compared with that for the RIGHT hand [R–R contrast, $F(1,7) = 9.898$, $P = 0.016$].

Correlations between precision grasp planning and execution variables with clinical measures

Correlations of precision grasp variables (from RIGHT hand lifts) with clinical measures related to impairment (tactile sensation, elbow spasticity, and grip strength) and hand

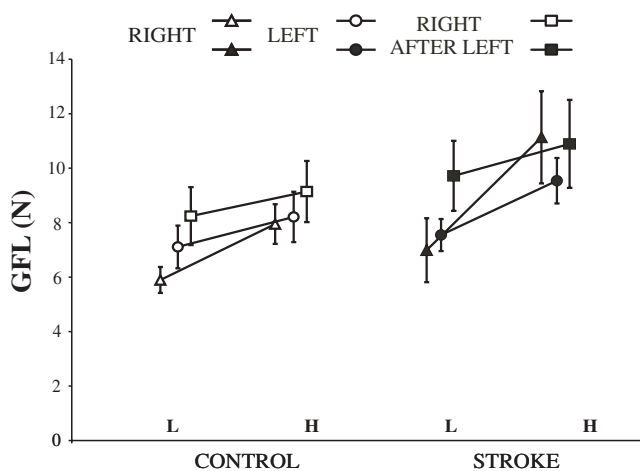


Fig. 5 Grip force at lift-off (GFL) for lifting the light (L) and heavy (H) object on the fifth lift with the RIGHT and LEFT hands, and the first lift with the RIGHT AFTER LEFT hand in control subjects and patients with stroke (mean \pm SEM) is shown. The slopes represent the difference in grip force at lift-off for the light and heavy weights.

function (WMFT and PPT) in patients with stroke are shown in Table 2. Measures of precision grasp planning—peak LFR and GFR—did not correlate with any of the clinical measures. However, measures of precision grasp execution correlated with measures of both impairment and hand function. Specifically, the GFO and GFL correlated with tactile sensation ($r = 0.800$ with GFO, and $r = 0.906$ with GFL), and the PLD correlated strongly with elbow spasticity ($r = 0.950$) and hand function assessed with the WMFT ($r = 0.880$).

Discussion

Impaired anticipatory scaling

Healthy subjects, including those in our study, appropriately scale fingertip force rates within one to three lifts (Johansson, 1996) through presumed formation of internal models of object weight, centre of mass, and surface friction (Johansson and Westling, 1987, 1988; Gordon *et al.*, 1993; Salimi *et al.*, 2000, 2003). Patients with stroke, however, did not scale the peak LFR or peak GFR with their involved right hand despite repeated lifts. Our findings are similar to those described previously in children with CP. Corticospinal tract damage has been shown to lead to a slowed rate of motoneuron recruitment and thus a slowed rate of force development (Hepp-Reymond and Wiesendanger, 1972; Chae *et al.*, 2002a, b). Thus, the inability to attain peak LFR and peak GFR required for anticipatory scaling in these patients could result from a deficit in motor execution and give the false impression of impaired anticipatory control. However, as will be discussed below, transfer of anticipatory scaling to the right hand after lifts with the non-involved left hand suggests

Table 2 Correlation of precision grasp variables with clinical measures in patients

Variable ^a	Tactile sensation ^b	Elbow spasticity ^c	Grip strength ^d	PPT ^e	WMFT ^f
Peak LFR (N/s)	0.062 (0.885)	0.422 (0.298)	0.141 (0.739)	−0.379 (0.355)	0.405 (0.320)
Peak GFR (N/s)	0.551 (0.158)	0.464 (0.247)	0.137 (0.746)	−0.473 (0.237)	0.463 (0.248)
PLD (ms)	0.141 (0.740)	0.950 (0.001)	0.124 (0.770)	−0.628 (0.096)	0.880 (0.004)
LPD (ms)	−0.537 (0.171)	−0.389 (0.341)	−0.220 (0.601)	0.342 (0.408)	−0.231 (0.583)
GFO (N)	0.800 (0.017)	0.237 (0.572)	−0.153 (0.718)	−0.216 (0.608)	0.110 (0.795)
GFL (N)	0.906 (0.002)	−0.026 (0.952)	0.080 (0.850)	−0.021 (0.962)	−0.078 (0.855)

LFR = load force rate; GFR = grip force rate; PLD = pre-load phase duration; LPD = load phase duration; GFO = grip force at load force onset; GFL = grip force at lift-off. Values represent Pearson's correlation coefficients (r) with the corresponding significance (P -value) in parenthesis; P -values ≤ 0.017 are in bold. ^aThe difference scores of the peak LFR, peak GFR, LPD and GFL for light and heavy object lifts, and the mean scores of the PLD and GFO for light and heavy object lifts with the RIGHT hand were used for the correlation analyses; ^btactile sensation was measured with the two-point discrimination test; ^celbow spasticity was measured by the Modified Ashworth Scale; ^dgrip strength was measured by the Jamar dynamometer, in kilograms; ^ePPT = Purdue pegboard test; ^fWMFT = Wolf Motor Function Test.

that a motor execution deficit is not sufficient to explain the impairment in anticipatory scaling.

Transfer of anticipatory scaling

Age-matched controls in our study, and healthy subjects studied previously (Gordon *et al.*, 1994), transfer anticipatory scaling of peak LFR across hands. Patients with stroke also scaled the peak LFR to object weight on a single lift with the affected right hand after similar lifts with the unaffected left hand, as observed in children with hemiplegic CP (Gordon *et al.*, 1999). However, scaling of peak GFR did not transfer consistently in the control subjects or the patients. This is consistent with previous studies in healthy subjects that have shown that scaling of peak LFR is more specific than scaling of peak GFR for anticipatory control of object weight (Gordon *et al.*, 1994; Flanagan *et al.*, 2001), and that scaling of peak GFR to object weight transfers poorly from the left to the right hand in healthy subjects (Gordon *et al.*, 1994). Thus, our main finding was that patients could not initially scale peak LFR to object weight with their affected right hand, even after repeated attempts, but were able to do so immediately after lifts with their unaffected left hand. This result is not consistent, with an execution deficit but suggests instead that patients with right hemiparesis also have a higher-order deficit in anticipatory control.

Why is anticipatory control impaired in the affected hand of patients with right hemiparesis? In patients with CP (Eliasson *et al.*, 1992; Gordon and Duff, 1999a), the deficit is thought to be related to impaired tactile sensation (Gordon and Duff, 1999b), or a higher-order deficit in sensorimotor integration (Eliasson *et al.*, 1992). However, tactile sensation did not correlate with anticipatory scaling of peak LFR or peak GFR in our study. Although it is possible that the impairment in anticipatory scaling was due to clinically undetected proprioceptive deficits, we hypothesize an alternative mechanism: the subcortical lesion interrupts output from primary motor cortex (M1) and thereby prevents short-latency integration of the motor output with sensory input from the primary sensory cortex (S1). This M1–S1 sensorimotor

integration hypothesis is supported by a recent study in which repetitive transcranial magnetic stimulation over left M1 disrupted scaling of fingertip force rates with the right hand in healthy subjects (Chouinard *et al.*, 2005).

How can prior lifts with the left hand restore anticipatory scaling of peak LFR in the affected right hand of patients with stroke? Damage to the corticospinal tract is associated with increased contribution from ipsilesional pre-motor areas (Weiller *et al.*, 1992; Fries *et al.*, 1993; Seitz *et al.*, 1998; Johansen-Berg *et al.*, 2002) which, unlike M1, do not have direct access to ipsilateral primary sensory input (Asanuma and Arissian, 1984) needed to form sensorimotor associations. However, sensorimotor associations formed in right M1 as a result of lifting objects with the left (non-involved) hand can feed the right pre-motor regions and then the left pre-motor cortex via callosal connections, which have been shown to be necessary for transfer (Gordon *et al.*, 1994). Thus, access to updated internal representations of object weight formed in the contralesional (undamaged) cortex may facilitate anticipatory scaling of peak LFR during subsequent lifts with the involved right hand.

Execution of grasp

Measures of temporal coordination—the PLD and LPD—were prolonged for lifts with the affected hand in patients with stroke, and the PLD remained prolonged even after prior lifts with the left hand. The PLD is also increased in children with hemiplegic CP (Forsberg *et al.*, 1999; Duque *et al.*, 2003) and in adult patients with chronic pure motor hemiparesis (Wenzelburger *et al.*, 2005). In addition, it correlated highly with both damage to the posterior limb of the internal capsule, thought to carry corticospinal projections related to upper-extremity movement (Morecraft *et al.*, 2002), and upper-extremity dysfunction in patients with small capsular infarcts (Wenzelburger *et al.*, 2005). The similarity of our results to these studies supports our contention that our patients sustained damage primarily to the corticospinal tract.

Grip-load force coordination, measured by the GFO and GFL, was inefficient for lifts with the involved right hand in patients with stroke. Deficits in tactile sensation could account for these high grip forces (Nowak *et al.*, 2001; Nowak and Hermsdorfer, 2003); indeed, tactile sensation correlated significantly with the GFO and GFL in our study. However, the GFO in patients was also increased for lifts with the non-involved left hand, which had intact sensation. Abnormalities in grip force control have been observed in the ipsilesional hand of patients with stroke in the absence of sensory deficits (Quaney *et al.*, 2005), suggesting that grip force execution, but not planning, may be impaired in the unaffected hand after stroke. The GFL, which was markedly increased for heavy object lifts with the affected right hand, became more similar for the two weights on lifting with the right hand after lifts with the unaffected left hand; this suggests that the initial increase in GFL was due to online compensation for inadequate anticipation of object weight (Johansson and Westling, 1988). Thus, both impaired tactile sensation and inadequate anticipatory scaling may lead to excessive grip forces, although improved anticipatory control can reduce the grip force applied at lift-off despite concurrent tactile deficits.

Relationship of clinical measures to planning and execution of precision grasp

Measures of precision grasp planning (anticipatory scaling of peak LFR and peak GFR) did not correlate with any of the clinical measures; however, measures of precision grasp execution correlated with clinical measures of both impairment and hand dysfunction—the GFO and GFL correlated with tactile sensation, and the PLD correlated strongly with elbow spasticity and hand function tested with the WMFT. This suggests that conventional clinical measures used in the assessment of patients with stroke are primarily measures of execution; they are either unable to detect or are unrelated to higher-order planning deficits that could influence grasping behaviour underlying everyday manual activities.

Some caution is required in interpreting correlation analyses when the number of subjects is small with respect to the number of comparisons, the main danger being Type I errors. However, we only found a few significant correlations that are consistent with previous findings in the literature. Abnormal grip force control has repeatedly correlated with impaired tactile sensation in previous studies (Nowak *et al.*, 2001; Nowak and Hermsdorfer, 2003). The PLD has also been shown to be correlated with elbow spasticity in children with CP (Gordon and Duff, 1999*b*). Interestingly, the PLD correlated with performance on the WMFT, but not with performance on the PPT. Both the precision grasp task and hand tasks of the WMFT involve a single grasp and hold movement, whereas the PPT involves repetitive, alternating grasp and release movements—this difference could account for the dissociation, and suggests that task

specificity may be critical to understanding relationships between motor impairment and functional motor behaviour.

How relevant is anticipatory control to functional motor behaviour?

Simple reaching movements in everyday tasks require prediction of inter-joint forces to preserve smooth movement paths and end-point accuracy (Sainburg *et al.*, 1999; Pigeon *et al.*, 2003). Such prediction or anticipatory control, which requires internal models of limb dynamics (Conditt *et al.*, 1997), is impaired in patients with hemiparesis (Beer *et al.*, 1999). Planning of grasp in everyday tasks also requires the acquisition of internal models of limb–object interactions (Jeannerod *et al.*, 1995; Haaland *et al.*, 1999; Wolpert *et al.*, 2001). Limb apraxia, which has traditionally been understood and tested in terms of a semantic understanding of a motor act (Geschwind and Damasio, 1985), has recently been characterized as a manifestation of a more general impairment in sensorimotor integration (Leiguarda and Marsden, 2000). Thus, our findings of impaired anticipatory control of precision grasp, independent of an execution deficit, parallel findings for reaching movements in patients with stroke and also suggest that higher-order motor planning deficits may be present even when patients do not show clinical evidence of apraxia. However, since motor planning deficits occur predominantly in individuals with left-brain damage (Haaland *et al.*, 2000), these deficits may not be seen in individuals with right-brain damage. Future studies should contrast planning and execution of precision grasp in patients with left and right hemiparesis.

Conclusions

Our findings have a number of important implications for patients with hemiparesis and their subsequent rehabilitation. First, patients with a right pure motor or sensorimotor lacunar syndrome after subcortical stroke demonstrate deficits in motor planning that are separate from deficits in motor execution. Second, these motor-planning deficits may not be fully apparent unless psychophysical tests of sensorimotor integration are performed in addition to conventional clinical measures. Finally, transfer paradigms are likely to give us a better understanding of how information is exchanged between the two hemispheres and may have important implications for the development of rehabilitation strategies that incorporate practice with the non-involved hand prior to practice with the involved hand to improve grasping behaviour after stroke. Future investigations of the deficits in planning and execution of precision grasp should be conducted in larger groups of patients with left and right hemiparesis, controlled for lesion location, to shed further light on the relationship between quantitative behavioural measures, clinical tests of hand function and cerebral localization.

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